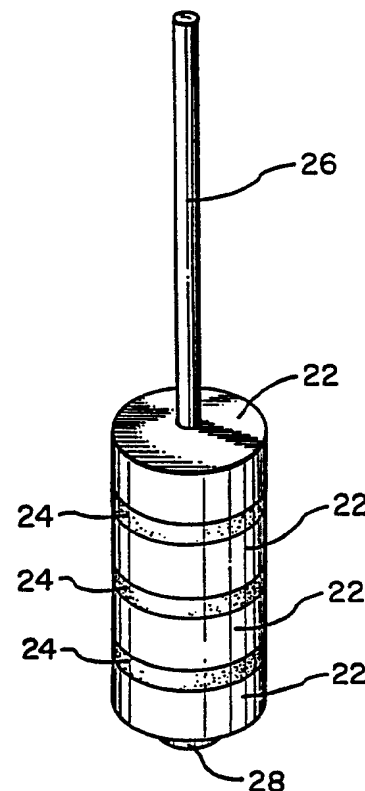




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(54) Title:</b> COMPOSITIONS AND METHODS OF MANUFACTURE OF ORAL DISSOLVABLE MEDICAMENTS  <b>(57) Abstract</b>  Dosage form and method of manufacture for producing a medicament cap- able of absorption through mucosal tissues. The drug (24) is to be incorporated into a dissolvable matrix (22). An appliance or holder (26) is attached to the dissolvable matrix (22) and mounted or sealed against button (28).		



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**COMPOSITIONS AND METHODS OF MANUFACTURE  
OF ORAL DISSOLVABLE MEDICAMENTS**

BACKGROUND

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1. The Field of the Invention

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The present invention relates to compositions and methods of manufacture of oral dissolvable matrixes for medicaments used in the buccal, sublingual, pharyngeal, and esophageal transmucosal delivery of the medicaments. More particularly, the present invention is directed to compositions, and methods and apparatus for producing such compositions, for noninvasive administration of dose-to-effect amounts of medicaments through the mucosal tissues of the mouth, pharynx, and esophagus.

15

2. The Background of the Invention

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Recently, numerous advancements have taken place in the field of pharmacology and pharmaceuticals with respect to the administration of drugs to treat various conditions. Despite the tremendous advancements in the field, however, drugs continue to be administered using substantially the same techniques that have been used for many decades. The vast majority of pharmaceutical agents continue to be administered either orally or by injection. Nevertheless, it is frequently found in the art that neither of these administration routes are effective in all cases, and both administration routes suffer from several disadvantages.

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Oral administration is probably the most prevalent method of administering pharmacological medicaments. The medicament is generally incorporated into a tablet, capsule, or a liquid base, and then swallowed. The oral administration modality is often preferred because of its convenience. In addition, oral administration is generally

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1 nonthreatening, painless, and simple to accomplish for most patients.

Nevertheless, oral administration of drugs suffers from several disadvantages. One disadvantage is that  
5 pediatric and geriatric patients frequently have difficulty swallowing pills and other solid dosage-forms, and such patients often refuse to cooperate in swallowing a liquid medication. In addition, for many medicaments, the act of  
10 swallowing the medicament often requires fluids and increases gastric volume and the likelihood of nausea and vomiting.

A further problem with oral administration is that the rate of absorption of the drug into the bloodstream after swallowing varies from patient to patient. The absorption  
15 of the drug is dependent upon the movement of the drug from the stomach to the small and large intestines and the effects of secretions from these organs and on the resulting pH within the stomach and intestines. Anxiety and stress can dramatically reduce these movements and  
20 secretions, prevent or reduce the final effects of the drug, and delay onset of the drug's effects.

Most significant is the fact that there is normally a substantial delay between the time of oral administration and the time that the therapeutic effect of the drug  
25 begins. As mentioned above, the drug must pass through the gastrointestinal system in order to enter the bloodstream; this typically takes forty-five minutes or longer. As mentioned above, anxiety and stress often increase this delay.

30 For many applications, such as premedication before surgery or where immediate relief from pain or a serious medical condition or immediate effectiveness of the drug is required, this delay is unacceptable. In modern outpatient units and operating rooms where rapid turnover of patients

1 is essential for cost containment, extensive delays in the  
action of a drug are simply unacceptable.

5 An additional disadvantage of oral administration is  
that many drugs almost immediately experience metabolism or  
inactivation. The veins from the stomach and the small and  
large intestines pass directly through the liver. Thus,  
drugs entering the bloodstream must first pass through the  
liver before distribution into the general blood  
circulation. More than sixty percent of most drugs (and  
10 essentially one hundred percent of certain drugs) are  
removed from the patient's bloodstream during this "first  
pass" through the liver. The result is that oral  
administration is impractical for many drugs, particularly  
many central nervous system and many cardiovascular-acting  
15 drugs that are used for rapid onset in critical care  
situations, as a premedication prior to surgery, or for the  
induction of anesthesia.

Further, additional stress is placed on the liver as  
it removes the excess drug from the bloodstream. This is  
20 particularly severe if the drug treatment has been  
occurring over an extended period of time. The liver may  
become overloaded with the drug's metabolite which then  
must be excreted. As a result, there is an increased risk  
of hepatic or renal disorders.

25 Another difficulty encountered in administering drugs  
orally is that dosages are prepared or determined for use  
with an "average" patient. Most drugs have widely varying  
effects on different patients. These effects depend upon  
patient habits, subtle genetic differences between  
30 patients, blood volumes, age, and numerous other known and  
unknown factors. Introducing a bolus of drug orally does  
not provide the ability to control the precise dose needed  
to obtain the desired effect, rather the dose is estimated  
in order to produce an average effect in an average

1 patient. The result may be underdosing or overdosing a  
particular patient.

Underdosing a patient because of a low susceptibility  
to the drug fails to evoke the response sought by the  
5 physician. Overdosing the patient can result in dangerous  
depression of vital body functions, especially the heart  
and lungs. This can cause prolonged respiratory depression  
(necessitating mechanical ventilation after surgery),  
cardiac depression, and cardiac arrest.

10 In order to avoid some of the disadvantages of oral  
administration, injection is frequently used. Injecting a  
drug (generally intravenously or intramuscularly), results  
in rapid entry of the drug into the patient's bloodstream.  
In addition, this type of delivery avoids the removal of  
15 large quantities of the drug by the patient's liver. As a  
result, less total drug is usually needed compared to  
orally administered drugs. The drug instead becomes  
rapidly distributed to various portions of the patient's  
body before exposure to the liver.

20 Most patients, particularly children and geriatric  
adults, have an aversion to injections. In some patients,  
this aversion may be so pronounced as to make the use of  
injections a serious concern. Since intense psychological  
stress can exacerbate a patient's debilitated condition, it  
25 sometimes becomes undesirable to use injections where the  
patient is seriously ill or suffers from a debilitating  
condition or injury.

In addition, individual variations in susceptibility  
in the metabolism of various drugs (particularly drugs with  
30 central nervous system activity) are even more profound  
when utilizing the injection route. In many instances to  
prevent overdosing, it is the practice to inject a patient  
with a lower than average dose and then supplement the dose  
with additional injections as necessary. This "titration"

1 makes necessary the use of repeated injections, which in  
turn greatly increases stress on the patient. Again, a  
precise dose cannot be administered to produce a precise  
effect because the patient's response varies widely  
5 depending on the specific characteristics of the specific  
patient.

One common approach to preparing a patient for surgery  
is to orally administer a sedative or anxiolytic. Although  
quick onset of sedation or anxiolysis has not always been  
10 a critical factor, it is more so now. Changing practices,  
including the increased use of outpatient units for day  
surgery and the pressures for cost containment in modern  
medicine, dictate rapid onset of action and the use of an  
absolutely ideal dose in order to avoid increased costs of  
15 caring for patients with delayed recovery secondary to  
slightly overdosing with anesthesia. Effective oral  
administration of premedication drugs with central nervous  
system activity (which cause a rapid onset of sedation and  
anxiolysis without producing excessive sedation) is often  
20 difficult to accomplish.

Some investigators have suggested that it may be  
possible to administer medication through the buccal mucosa  
of the cheek pouch or by sublingual administration. See,  
U.S. Patent No. 4,671,953 entitled "METHODS AND  
25 COMPOSITIONS FOR NONINVASIVE ADMINISTRATION OF SEDATIVES,  
ANALGESICS, AND ANESTHETICS." Such administration through  
the mucosal tissues of the mouth, pharynx, and esophagus of  
therapeutic drugs possesses a distinct usefulness. Admin-  
istration of drugs by this route does not expose the drug  
30 to the gastric and intestinal digestive juices. In  
addition, the drugs largely bypass the liver on the first  
pass through the body, thereby avoiding additional meta-  
bolism and/or inactivation of the drug.

1           Generally the drugs which are administered by any of  
the methods described above have an unpleasant taste. As  
a result, in order to allow for buccal or sublingual  
administration through the oral mucosal tissues, it is also  
5       necessary to incorporate the drug into some type of  
pleasant tasting mass, such as a "candy" matrix.

          In the manufacture of medicated candy products by  
existing methods, the therapeutic agent is added to a  
molten candy mass. The resultant mixture is then  
10       thoroughly mixed to ensure proper distribution of the drug  
within the molten candy mass. The mixture is then poured  
into a mold cavity while still molten and allowed to  
solidify into a solid mass. Alternatively, the hot candy  
mass may be poured into molds, the size and shape of which  
15       may be determined as desired.

          For effective application of the drug, the final candy  
product may contain the drug uniformly distributed  
throughout in order to ensure uniform levels of medication.  
Alternatively, for some applications, varying concentra-  
20       tions within known and controlled ranges may be desired to  
vary the rate of drug administration. Difficulties are  
encountered in attempting to blend solid drugs in a uniform  
or otherwise carefully controlled manner. Many drugs are  
insoluble, or only partially soluble, in one or more of the  
25       ingredients of the hard candy base. Thus, the resultant  
product is often found to be lacking in uniform or  
controlled distribution of the drug.

          In addition, it is often found that when the  
temperature of the candy mass is increased in order to  
30       enable a more uniform distribution (generally to a  
temperature above approximately 230°C), considerable  
decomposition of the drug takes place. While the extent of  
decomposition may vary, high temperatures are generally  
undesirable in the handling and processing of medications.



1 Thus, the process of formation of the candy product may  
itself degrade and/or inactivate the therapeutic agent.

Furthermore, many presently available medicated candy  
lozenges tend to crumble when placed in the mouth. As a  
5 result, uniform release of the drug into the mucosal  
tissues does not take place. Rather, the crumbled lozenge  
is mostly chewed, and swallowed, and the drug enters the  
bloodstream through the stomach and intestines as described  
above. Thus, it will be appreciated that candy lozenges  
10 have very definite limitations for use in the administra-  
tion of a drug through the oral mucosal tissues. As a  
result, lozenges have not been used to administer potent,  
fast-acting drugs, such as drugs that affect the central  
nervous system, the cardiovascular system, or the renal  
15 vascular system.

While the administration of certain drugs through the  
oral mucosal tissues has shown promise, development of a  
fully acceptable method for producing a medication in a  
desirable form and administering the medication has been  
20 elusive. It has not been possible to develop an acceptable  
candy product for use with most drugs without heating the  
product to the point where degradation will be expected.

It should also be noted that pH conditions within the  
mouth may tend to adversely affect the administration of  
25 certain lipophilic drugs by the mucosal administration  
route. It has been found in the art that administration of  
drugs through the mucosal tissues generally occurs best  
when the drug is in the unionized form. Variations in pH  
affect the percentage of the drug which is unionized at a  
30 particular point in time. As a result, the pH conditions  
within the mouth can limit the effectiveness of certain  
drugs administered buccally or sublingually in that those  
conditions cause the drug to exist in the ionized form

1 which is largely unavailable for transfer across the  
mucosal tissues.

Other potent drugs are substantially nonlipophilic and  
do not naturally permeate mucosal tissues. Hence it would  
5 be a significant advancement in the art of administering  
potent, fast-acting drugs, if suitable methods and  
compositions permitted both lipophilic and nonlipophilic  
drugs to be administered transmucosally.

It would be another important advancement in the art  
10 of administering potent, fast-acting drugs, if suitable  
methods and compositions provided a precise dosage to a  
precise effect in every patient. A related advancement in  
the art would be to provide such methods and compositions  
that avoid the disadvantages of overdosing, underdosing,  
15 and the immediate metabolism encountered in the "first pass  
effect," yet do not involve injection by needle into the  
patient.

It would be a further significant advancement in the  
art to provide methods and compositions for incorporating  
20 drugs (including insoluble drugs) into a soluble matrix  
without heating the mixture to the point that degradation  
occurs. It would be a related advancement in the art to  
provide such a method which provided the capability of  
uniformly incorporating insoluble drugs into the soluble  
25 matrix.

Such compositions and methods of manufacture are  
disclosed and claimed herein.

#### BRIEF SUMMARY OF THE INVENTION

30 The present invention relates to compositions and  
methods of manufacture for producing medicament  
compositions for use in administering potent, fast-acting  
drugs transmucosally. Furthermore, the present invention  
relates to such compositions and methods which are useful  
35

1 in administering drugs in a dose-to-effect manner such that  
sufficient drug is administered to produce precisely the  
desired effect. The invention also relates to a manufac-  
5 turing technique that enables both lipophilic and nonlipo-  
philic therapeutic agents to be incorporated into a  
flavored dissolvable matrix material and to attach the  
matrix mixture onto an appliance or holder. In use, the  
present invention provides for the administration of drugs  
10 through the mucosal tissue of the mouth, pharynx, and  
esophagus, thereby avoiding the problems of both injection  
and oral administration.

Employing the present invention, the drug may be  
introduced into the patient's bloodstream almost as fast as  
through injection, and much faster than using the oral  
15 administration route, while avoiding the negative aspects  
of both methods. A dosage-form within the scope of the  
present invention can be used to administer drugs in a  
dose-to-effect manner, or until the precise desired effect  
is achieved.

20 The present invention achieves these advantages by  
incorporating the drug into a dissolvable matrix material.  
The dissolvable matrix may include carbohydrates, fats,  
proteins, waxes (natural and synthetic), hydrocarbons, and  
other materials which safely dissolve in the mouth. The  
25 dissolvable matrix, or dosage-form, can be used to  
administer drugs in a dose-to-effect manner, or until the  
precise desired effect is achieved. The dosage-form  
preferably has an appliance or handle attached thereto to  
permit removal from the patient's mouth.

30 The manufacturing methods of the present invention  
overcome many of the limitations previously encountered in  
forming a medicated lozenge. The present invention teaches  
the combination of ingredients by geometric dilution. That  
is, the two smallest ingredients by weight are first

1 thoroughly mixed, then the next smallest ingredient or  
ingredients by weight equal to the weight of the previous  
ingredients is added and is thoroughly mixed with the  
existing mixture. This procedure is repeated until all of  
5 the components, including the desired therapeutic agents,  
are fully combined.

After mixing, the mixture may be compressed, poured  
into a mold cavity, dehydrated, freeze dried, or otherwise  
formed as an integral drug delivery system. In some  
10 embodiments within the scope of the present invention,  
specific confectionery components are combined in order for  
the mixture to form an integral solid mass. These  
components may include, for example, compressible  
confectioner's sugar, sorbitol, mannitol, and maltodextrin.

15 In other embodiments within the scope of the present  
invention, certain fats, waxes, or hydrocarbons may be  
combined with the desired therapeutic agent and compressed  
to form a dissolvable drug delivery system. Sugars and  
other carbohydrates, flavors, dyes, mold releasing agents,  
20 binding agents, and flavor modifiers may also be combined  
with the dissolvable matrix material and therapeutic agent  
before being compressed.

In yet other embodiments within the scope of the  
present invention, therapeutic agents may be combined with  
25 hydrogels or gelatins to form a dissolvable drug delivery  
system.

These embodiments overcome many of the problems of the  
prior art. According to the present invention, insoluble  
drugs can be added to the matrix without the necessity of  
30 attempting to dissolve the drug. In addition, the high  
temperatures, which are generally required to form a molten  
candy matrix of the prior art and which can cause  
degradation of some drugs, are avoided using the present  
invention. Therefore, even drugs with relatively low

1 melting points or those drugs which can experience  
decomposition below their melting points, can be  
incorporated into a dissolvable dosage-form.

5 A further advantage of the present invention is that  
flavoring problems are overcome in many cases. Flexibility  
in adding flavors is provided in that solubility of the  
components is not required in order to incorporate any  
particular flavor into the matrix. Thus, flavorings,  
10 drugs, and other components (which may be insoluble in  
liquid form) are easily mixed when they exist as a dry  
powder.

Buffering agents and other types of pH control can  
also be added simultaneously in order to provide for  
maximum drug efficiency. It will be appreciated that drugs  
15 in the unionized form are more readily transported across  
the mucosal membrane. Therefore, if pH conditions can be  
adjusted to maximize the percentage of unionized drug  
available, the effectiveness of the drug is maximized.

Buffering agents are particularly important for those  
20 drugs that partially ionize within the pH range of the  
mouth, such as weak acid and weak base drugs. Generally,  
buffering agents are more important when hydrophilic drugs  
are used because those drugs usually have lower mucosal  
permeability and dissolve more readily in saliva within the  
25 mouth.

Permeation enhancers may also be incorporated within  
the dissolvable matrix to improve the permeability of the  
mucosal membrane. The permeability of both lipophilic and  
nonlipophilic drugs may be improved by using suitable  
30 permeation enhancers.

Various dosage-form configurations are also possible  
employing the present invention. For example, layers of  
drug may be interspersed between layers of a dissolvable  
composition. Since the present invention teaches the use  
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1 of different dissolvable matrix materials which can be  
compressed, poured, dried, or otherwise formed into a solid  
dosage-form, virtually any desired type of mold can be used  
for the formation of the dosage-form.

5 It may also be desirable to incorporate a handle or  
holder in the dissolvable matrix material as the matrix is  
being formed. Alternatively, the handle may be glued to  
the matrix material by a dissolvable bonding agent, such as  
confectioner's glue, once the dissolvable matrix is formed.  
10 The handle provides for easy removal of the dissolvable  
matrix from the mouth of the patient once the desired  
effect has been achieved. This is a substantial  
improvement over existing methods of administering drugs  
through the mucosal tissues of the mouth.

15 The present invention also provides the advantage of  
controlling the dissolution rate of the composition once it  
is administered to a patient. This can be accomplished in  
a number of ways. First, the dissolution rate may be  
modified chemically by including a hydrophobic agent (such  
20 as calcium stearate) to slow dissolution or lactose to  
enhance dissolution. The solubility of the selected matrix  
material, e.g., gelatin, fat, protein, wax, etc., likewise  
affects the dissolution rate. Dissolution may also be  
controlled by the extent to which the mixture is  
25 mechanically compressed. In addition, dissolution can be  
accomplished by varying the vigor with which the patient  
sucks on the dissolvable matrix.

A drug administered through the oral mucosal tissues  
from a dissolvable matrix within the scope of the present  
30 invention will quickly enter the patient's bloodstream  
through the veins which serve these tissues. Appropriate  
monitoring of the patient's reaction to the drugs which  
have an observable or monitorable effect (such as a drug  
affecting the central nervous, cardiovascular, or renal  
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1   vascular systems) will indicate when the drug has evoked a  
suitable response. The dosage-form may then be removed, or  
its rate of consumption may be modified in order to  
maintain the desired effect.

5       It will be appreciated that the ever present risk of  
overdosing a patient is substantially minimized through the  
use of the present invention. According to the present  
invention, the drug dose is given over a period of time  
rather than all at once, and the administration rate can be  
10   adjusted if it appears to be necessary. Once a sufficient  
drug response has been achieved, the patient can simply  
stop sucking on the dosage-form or the patient or medical  
professional can easily remove the dosage-form from the  
patient's mouth.

15

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a perspective view of a mold for forming  
the dissolvable drug matrix along with an associated ram.

20   Figure 2 is a perspective view of one embodiment of a  
dosage-form within the scope of the present invention.

Figure 3 is an exploded plan view of the embodiment of  
the dosage-form shown in Figure 2.

Figure 4 is a perspective view of an alternative  
embodiment of the dosage-form of the present invention.

25   Figure 5 is a cutaway plan view of an alternative  
embodiment of a dosage-form of the present invention  
illustrating one method of attachment of the handle to the  
dissolvable matrix.

30   Figure 6 is a perspective view of mold for forming a  
dissolvable drug matrix which uses horizontal compression.

Figure 7 is a perspective view of the mold shown in  
Figure 6 in the process of forming a dosage-form within the  
scope of the present invention.

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1           Figure 8 is a perspective view of the mold shown in  
Figure 6 with the bottom die pushing a completed dosage-  
form out of the mold.

5           DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

1.   General Discussion

          The present invention is related to methods of  
manufacture and compositions which facilitate the  
10   transmucosal delivery of a medication. Simply stated, the  
present invention relates to a dosage-form, or similar type  
of composition, which contains a therapeutic drug. The  
drug is delivered to the patient through the mucosal  
tissues of the mouth, pharynx, and esophagus as the patient  
15   sucks on the drug-containing dosage-form.

          This particular method of delivery overcomes several  
of the limitations encountered in the delivery of drugs  
either orally or by injection. One of the primary  
advantages of the present invention is the ability to  
20   introduce drugs to a patient in a "dose-to-effect" manner.  
The drug is given to the patient until the precisely  
desired effect is obtained; this is in distinction to prior  
art methods where a predetermined quantity of the drug is  
introduced to the patient. Once the desired effect is  
25   obtained, the patient or the medical professional simply  
removes the dosage-form from the patient's mouth.

          The present invention discloses a method of producing  
a dosage-form containing one or more therapeutic agents.  
The present invention overcomes many of the problems  
30   encountered generally in incorporating drugs into a  
dissolvable matrix. For example, the present invention  
teaches the mixing of solid powders or liquids at room  
temperature, as opposed to liquid components at elevated  
temperatures. The degradation of drugs, which often occurs  
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1 at the elevated temperatures needed to produce a molten  
candy mass, is thereby avoided. This facilitates use of  
drugs having relatively low melting points, or those drugs  
which can experience decomposition below their melting  
5 points. The mixing can also be done at very low temper-  
atures. In this way, evaporation of any volatile ingred-  
ients is minimized and the "stickiness" of sticky  
ingredients is reduced making them more crumbly.

10 In addition, because solid powders or liquids are  
combined together, constituents which may be chemically  
incompatible when in a heated solution or suspension can be  
mixed. In forming medicated confections by known methods,  
severe problems are encountered in that the medication,  
flavorings, and other components may be insoluble when  
15 placed in the same liquid environment. In addition,  
problems of chemical incompatibility between ingredients is  
eliminated in the present invention.

Once the desired constituents are thoroughly mixed,  
they may be formed into a solid dosage-form. In other  
20 cases the constituents are wetted to form a slurry, dried,  
and then compressed (sometimes referred to as "slugging").  
In one embodiment, the ingredients are compressed to form  
the dosage-form. Typically, compressive forces in the  
range from approximately 2,000 Newtons to approximately  
25 5,000 Newtons are preferred. As a result, the compressed  
powdered matrix is held together by physical means rather  
than by chemical means. The extent of the compressive  
forces can be modified to vary the rate that the dosage-  
form will dissolve in a patient's mouth. The greater the  
30 compressive forces that form the mixture, the slower the  
dissolution of the matrix material in the mouth.

In other embodiments within the scope of the present  
invention, the desired constituents are formed into the  
dosage-form by dehydration, freeze drying (lyophilization),

1 pouring into a mold, spraying onto a suitable holder, vapor  
deposition, or other known techniques in the art.

According to the present invention, the dissolvable  
matrix composition is attached to a holder or handle.  
5 Attaching the dissolvable matrix to a holder facilitates  
the administering of precise dosages. Once a particular  
effect is induced, the dosage-form can be withdrawn using  
the holder as described above.

The attachment of the dissolvable matrix material to  
10 a holder may be made by incorporating the holder into the  
dissolvable matrix as the dosage-form is being formed.  
Alternatively, the holder may be glued, compressed,  
screwed, snapped, or otherwise attached to the dissolvable  
matrix once the matrix is formed. A dosage-form may be  
15 assembled immediately prior to use by sliding disks of drug  
and dissolvable matrix onto an appropriately configured  
holder. Also, the dissolvable matrix may be sprayed or  
otherwise deposited onto a handle during formation. In  
addition, the dissolvable matrix may be formed around an  
20 insert onto which a holder can be attached.

It will be appreciated that compression or attachment  
of the drug-containing matrix onto a holder can facilitate  
the transmucosal absorption of a variety of therapeutic  
agents. Attachment to a holder also facilitates verifiable  
25 transfer of the medication to the patient. The holder  
provides a convenient point of reference concerning  
quantities of drug administered at any particular point in  
time; it is easy to determine how much of the dosage-form  
has been dissolved in the patient's mouth.

30 Localization of effects by some therapeutic agents  
such as local anesthetic agents, antiplaque agents, local  
antipruritic agents, local antisecretory agents, and local  
antifungal agents can also be accomplished according to the  
present invention. Immediate systemic effects from central

1 nervous system-acting drugs (such as sedation, anxiolysis,  
analgesia, amnesia, and anesthesia), cardiovascular-acting  
agents (such as antihypertensives and antianginal drugs),  
5 renal vascular-acting agents, and numerous other  
therapeutic agents can also be accomplished by employing  
the present invention.

Placing a drug dosage onto a holder also facilitates  
the temporary removal of medication for inspection or the  
reduction of the effect when necessary. Unlike  
10 administration of drugs orally or even sublingually, the  
present composition can easily be removed to assess the  
effect induced at any particular time. When a pill or  
lozenge is used, removal from the patient's mouth at an  
intermediate stage to assess effect is generally imprac-  
15 tical, if not impossible.

Dissolvable matrixes attached to a holder can also  
avoid aspiration of the confection. One major problem with  
existing lozenges and the like is their tendency to  
crumble. Once the lozenge crumbles, controlled trans-  
20 mucosal delivery is less ideal.

The present invention provides the capability of  
providing a good tasting medication. With many drugs, it  
has previously been extremely difficult to provide a good  
tasting medicine because of the extreme bitterness or other  
25 unpleasant taste of many drugs. Using the present  
invention, favorable taste characteristics can be  
accomplished by adding various flavors, sweeteners, and the  
like to form an ideal mix of products. Since the compon-  
ents are combined as solids or liquids (or even liquids  
30 that are slowly released from microsponges), problems  
associated with combining flavoring components insoluble in  
a molten candy mass are avoided.

It is important to note that it is possible, according  
to the present invention, to use the free acid form or the  
35

1 free base form of certain drugs and to buffer those drugs  
such that extremes in pH, and resulting bad taste, are  
avoided.

5 Another important feature of the present invention is  
the incorporation of permeation enhancers within the  
dissolvable matrix. The permeation enhancers improve the  
mucosal membrane permeability to lipophilic and nonlipo-  
philic drugs. Thus, the compositions and methods within  
the scope of the present invention permit the use of lipo-  
10 philic as well as nonlipophilic drugs.

## 2. Methods of Manufacture

In order to prepare a desirable drug-containing  
dissolvable matrix for formation into a dosage-form, it is  
15 generally necessary to combine several general types of  
components. These components include the types of  
components used to prepare typical confections, the desired  
drug, and other chemically active ingredients such as  
buffering agents, permeation enhancers, and the like. The  
20 types of components involved generally fall into the  
following categories:

- (1) flavorings,
- (2) sweeteners,
- (3) flavor enhancers,
- 25 (4) releasing agents,
- (5) buffers,
- (6) one or more therapeutic agents,
- (7) dissolvable matrix material, and
- (8) permeation enhancers.

30 The components may be a releasable or slowly releasable  
liquid.

As mentioned above, it is preferred that these  
components each be provided in a form which facilitates  
mixing, such as a dry powder. This provides for convenient

1 combination of the ingredients, even if they happen to be  
insoluble or otherwise chemically incompatible. All the  
incipients or inactive ingredients should be on the GRAS  
list ("generally regarded as safe").

5 A wide range of flavors are available for preparing  
good tasting and desirable medications within the scope of  
the present invention. These are required in order to mask  
the unpleasant taste of the drug. Flavorings may be  
combined, as desired, to produce a particular flavor mix  
10 which is compatible with a particular medication. Some of  
the confectioner's flavorings which have been used in the  
context of the present invention include artificial  
vanilla, vanilla cream, mint, cherry, spearmint, grape,  
coconut, chocolate, menthol, licorice, lemon, and  
15 butterscotch.

Each of these flavorings is obtainable in a  
concentrated powder form. Other flavorings known in the  
confectionery arts may also be acceptable because of the  
ease of combining the ingredients of the present invention.  
20 Any number of flavorings may be combined in any desired  
ratio in order to produce the specific desired taste  
characteristics required for any particular application.  
For example, flavor combinations may be varied in order to  
be compatible with the flavor characteristics of any  
25 specific drug.

In order to produce a desirable color for the end  
product, artificial colorings may also be added to the  
composition. The flavorings described above are generally  
a white powder, as are the other major components.  
30 Therefore, additional coloring is necessary if a colored  
end product is desired. Coloring may also be important as  
a code to indicate the type and concentration of drug  
contained within a particular dissolvable matrix. Any type

1 of color known to be "FD&C" certified may be used to provide coloring to the product.

2 In order to provide a good tasting medication, it is  
3 necessary to add sweeteners to the composition. Sweeteners  
4 which are presently preferred include aspartame  
5 (NutraSweet®) and compressible confectioner's sugar. Other  
6 sweeteners, such as fructose, sorbitol, mannitol, xylitol,  
7 cyclamates, acesulfame K, thaumatin, sucralose, alitame,  
8 PS99/PS100, glycyrrhizin, monellin, stevioside, miraculin,  
9 or L-sugars may also be acceptable for use within the scope  
10 of the present invention. Again, it is desired that a  
11 sweetener or combination of sweeteners be obtained which is  
12 compatible with the drug and the other components such that  
13 a good tasting confection is produced.

14 Maltodextrin and cyclodextran may also be added to  
15 provide a better tasting composition. Maltodextrin and  
16 cyclodextran are generally employed in order to dissipate  
17 unpleasant flavors (such as the bitter taste of most drugs)  
18 within the composition. In addition, maltodextrin is a  
19 highly compressible powder which facilitates the formation  
20 of compressible dosage-forms within the scope of the  
21 present invention.

22 For some applications, it may be desirable to add a  
23 flavor enhancer to the composition in order to achieve a  
24 good tasting product. Flavor enhancers provide a more  
25 pleasant sensation in the patient's mouth during  
26 consumption of the dosage-form. Flavor enhancers within  
27 the scope of the present invention include materials such  
28 as ribotide (a nucleotide) and monosodium glutamate  
29 ("msg").  
30

31 In certain medications, it may also be desirable to  
32 add a lubricating agent in order to release the dosage-form  
33 from the mold. Such agents may also provide a certain  
34 amount of waterproofing. As mentioned above, the rate of  
35

1 dissolution of the dosage-form within the patient's mouth  
may be controlled chemically, as well as physically,  
through the extent of compression of the composition.  
These lubricating or releasing agents may include  
5 substances such as compritol 888 (glyceryl behenate),  
calcium stearate, and sodium stearate. These agents may  
enhance dissolution or they may inhibit dissolution as  
necessary.

10 Lubricating agents are also useful in those embod-  
iments wherein a powder mixture is funneled into a chute  
during manufacture. Lubricating agents and surfactants  
improve product flow and avoid static electricity charge  
buildup within the formulation which may cause the  
ingredients to separate due to electrostatic forces.

15 As will be discussed in more detail below, it may also  
be desirable to include buffering agents within the  
composition. Buffering agents provide the ability to place  
the medication in the mouth in a favorable pH environment  
for passage across the mucosal tissues of the mouth,  
20 pharynx, and esophagus. Buffering agents incorporated  
within the composition can be used to affect a pH change in  
the salival environment of the mouth in order to favor the  
existence of a unionized form of the active ingredient or  
drug which more readily moves through the mucosal tissues.

25 In addition, appropriate pH adjustment can aid in  
producing a more palatable product with drugs which are  
either severely acidic (and thus sour) or severely basic  
(and thus bitter). As a result, a buffer system such as  
citric acid/sodium citrate has been found to be desirable  
30 for addition into the dissolvable matrix. A phosphate  
buffer system may also be used.

A suitable permeation enhancer capable of improving  
the drug permeability across the mucosal membrane may also  
be included in the dissolvable composition. Permeation

1 enhancers are particularly important when nonlipophilic  
drugs are used, but may be valuable for lipophilic drugs as  
well. Examples of typical permeation enhancers which may  
5 be used within the scope of the present invention are  
discussed below.

It will be appreciated that miscellaneous other agents  
such as lactose, to provide filling and bulk, may also be  
desirable. Other filling and bulking agents of the type  
known in the art may also be used. Gelatin may be used to  
10 provide filling and bulking agents in other embodiments of  
the present invention.

Added to the dissolvable matrix described above will  
be the appropriate therapeutic agent or drug. As will be  
discussed in more detail below, various types of drugs are  
15 easily incorporated into the matrix compositions of the  
present invention. These include agents which affect the  
central nervous system, the cardiovascular system, or the  
renal vascular system.

A typical dosage-form within the scope of the present  
20 invention may include the following general ingredients:  
flavoring, sweetener, flavor enhancer, releasing agent,  
buffer, therapeutic agent(s), and/or bulk dissolvable  
matrix. The "bulk dissolvable matrix" may include  
hydrogel-, gelatin-, fat-, protein-, wax-based, and other  
25 similar dissolvable substances. Appropriate changes in  
flavoring ingredients can be made to mask or optimize  
flavor perception in order to achieve ultimate acceptance  
of the dosage-form by the desired patient group, be it  
adult, juvenile, pediatric, or neonate.

30 Each of the components is mixed with the other  
components to produce the compositions of the present  
invention. It is presently preferred to use the method of  
geometric dilution in mixing the various components. Using  
this method, the two smallest ingredients by weight (as a  
35



1 proportion of the final product) are first mixed together  
thoroughly.

When complete mixing has been obtained between those  
two components, the next smallest ingredient or ingredients  
5 by weight equal to the weight of the previous ingredients  
is added and mixed thoroughly with the existing mixture.  
This procedure is repeated until all of the components are  
added to the mix and mixed thoroughly with all other  
components.

10 Geometric dilution provides for complete and thorough  
mixing of all of the components. Using the method  
described above, there is little chance for incomplete  
mixing and uneven distribution of components throughout the  
mix. It will be recognized that this is an advancement  
15 over the art in that existing methods may result in  
incomplete mixing because of the insolubility of the  
products.

Once complete mixing is accomplished, the mixture is  
formed into a solid dissolvable matrix composition. In one  
20 embodiment, the mixture is compressed under relatively high  
forces to provide a coherent dosage. Compressive forces in  
the range of from approximately 2,000 Newtons to  
approximately 5,000 Newtons are presently preferred,  
however, any force which is sufficient to compress the  
25 ingredients into a coherent, integrated mass could be used.

In other embodiments within the scope of the present  
invention, the desired constituents are formed into the  
dosage-form by dehydration, freeze drying (lyophilization),  
pouring into a mold, spraying onto a suitable holder, vapor  
30 deposition, or other known techniques in the art.

When employing the present invention, there is no need  
to heat the mixture to a molten mass as has been the  
practice in the past in forming drug-containing  
confections. As a result, heat degradation of the drug  
35

1 component is avoided while good mixing and a uniform product are provided.

5 The dissolvable matrix may be attached to a holder such as a handle or other similar type of holder. The holder may be glued to the matrix by dissolvable adhesive such as confectioner's glue, liquid sorbitol, or wax. Alternatively, the holder may be compressed or molded into the dissolvable matrix as described above.

10 The figures illustrate several methods of forming the dosage-form, as well as methods of attaching the holder to the dosage-form. Figure 1 discloses a mold block 10. The interior of mold block 10 includes a cavity 12 formed in any desired shape so that the ingredients described above can be compressed or molded to form an appropriately shaped dosage. Mold block 10 may comprise two separate halves 14 and 16. Each half of the mold block 10 can be removed in order to remove the dosage-form once it is formed.

Also illustrated in Figure 1 is ram 18. Ram 18 is configured so that it fits into the cavity 12 and 20 compresses the dosage-form into the base of cavity 12. Ram 18 may have a hole disposed through its interior in order to accommodate handle 20. Thus, handle 20 can be placed into the mass of dosage-form prior to compression. Ram 18 will then compress the dosage-form tightly around handle 25 20. Following compression of the dosage-form, the handle is securely bound in place.

Figure 2 discloses an additional embodiment of the dosage-form of the present invention. The dosage-form illustrated in Figure 2 has alternating layers of 30 dissolvable matrix 22 and a drug matrix 24. Each alternating segment is disk-shaped with the width of the disk being varied according to particular needs. Disks 22 and 24 easily slide over handle 26 and seat against button 28. Thus, the method of assembly of the dosage-form can be

35

1 adapted to produce various dosages to fit varying  
circumstances. Indeed, the patient himself may be capable  
of assembling an appropriate dosage-form and varying the  
content of the medicament to correspond to his specific  
5 needs at any particular time.

Figure 3 illustrates the method of assembling the  
embodiment of the invention as illustrated in Figure 2. In  
Figure 3, the drug matrix 24 and dissolvable matrix 22 are  
spaced apart along handle 26. As can be appreciated from  
10 Figure 3, disks 22 and 24 will slide onto handle 26 and  
will seat against button 28. The number of disks and the  
composition of these disks can be easily varied to meet  
particular patient needs. Various concentrations of a  
drug, or even multiple drugs, may be administered in this  
15 manner.

Handle 26 may take various shapes. For example, it  
may be desirable for handle 26 to be oval or triangular in  
cross section. This would prevent disks 24 and 26 from  
turning on the handle. In addition, an additional sleeve  
20 (not shown) may be positioned over the exposed portion of  
the handle with a catch that engages handle 26 so that  
disks 24 and 26 are locked in place.

Figure 4 illustrates a further embodiment of a dosage-  
form within the scope of the present invention. In Figure  
25 4, the drug and dissolvable matrix are divided laterally  
along the cylindrical mass of the dosage-form. Thus, pie-  
shaped segments of drug 32 and dissolvable matrix material  
34 are pressed together around handle 30. As illustrated  
in Figure 4, drug segments 32 and dissolvable segments 34  
30 may alternate around a periphery of the dosage-form.  
Alternatively, the spacing of the segments may be varied to  
provide other appropriate levels of drug dosage.

Figure 5 illustrates an alternate method of attachment  
between the dosage-form 36 and the handle 38. Handle 38  
35

1 illustrated in Figure 5 is constructed with a plurality of  
protrusions 40. Protrusions 40 extend toward the exposed  
portion of the handle such that they prevent the dosage-  
5 form 36 from sliding off the handle. Thus, when the dosage-  
form 36 is compressed around handle 38, the dosage-form is  
securely bound to the handle.

Figures 6-8 illustrate a mold block 50 for forming a  
dosage-form within the scope of the present invention.  
Mold block 50 defines an die cavity 52. A slot 54, located  
10 on one edge of mold block 50 facilitates insertion and  
removal of holder 56. A top die 58 and a bottom die 60 are  
configured to be inserted within die cavity 52. The top  
and bottom die both have concave surfaces 62 and 64,  
respectively.

15 To prepare a dosage-form using mold block 50, a  
quantity of dissolvable matrix material which contains the  
medicament is placed in die cavity 52 on concave surface  
64. A holder 56 is positioned within slot 54 such that a  
portion of the holder is within the die cavity. An  
20 additional amount of dissolvable matrix material is placed  
in the die cavity on top of the holder. The top and bottom  
dies then compress the dissolvable matrix material around  
the holder thereby preparing a dosage-form 68. In order to  
remove the dosage-form from the mold block, the bottom die  
25 pushes the completed dosage-form out of the die cavity as  
shown in Figure 8.

It can be seen, therefore, that the present invention  
provides a great deal of flexibility in the construction of  
an appropriate drug-containing confection. The quantity of  
30 drug contained in any confection can be varied within wide  
ranges. In addition, various methods of attachment of the  
confection to the handle are available in order to provide  
a wide range of flexibility.

1     3.     Control of pH in View of Drug pKa

          It is well known that most drugs are weak acids or  
weak bases and are present in solution in both the  
unionized and ionized forms. It has been found that the  
unionized portion of the drug is usually lipid soluble and  
can readily diffuse across the cell membrane. The ionized  
portion, conversely, is often lipid insoluble and in some  
instances, may not effectively penetrate the lipid membrane  
of the cell. As a result, drugs in the ionized form are  
generally inefficient in producing a drug effect on the  
central nervous, cardiovascular, and renal vascular  
systems.

          Whether a drug exists in the ionized or unionized form  
is largely dependent upon its pKa, and correspondingly on  
the pH of the solution. The present invention provides the  
unique ability to control the pH of the solution and thus  
the ratio of unionized to ionized form of the drug.

          Ingredients of the dissolvable matrix or other dosage-  
form can be designed to impart sufficient change in the pH  
of the saliva within the mouth such that the concentration  
of the unionized drug is increased. When the percentage of  
unionized drug is increased, transmucosal absorption of the  
drug is correspondingly increased. Therefore, by  
influencing the salival pH environment, it is possible to  
greatly improve the extent and rapidity of actual drug  
absorption, and therefore, the initial onset of the effect  
of the drug. Adding pH buffering systems (such as  
phosphate or citrate buffer systems) into the dosage-form  
can greatly facilitate delivery of the drug in the  
unionized (lipid soluble) form.

          It is often desirable for the pKa to range from  
approximately 5 to approximately 8 in order to maximize  
drug delivery. pKa is defined as the negative logarithm  
(base 10) of the dissociation constant (Ka). pKa may also

1 be defined as the pH at which a given acid is 50% ionized  
and 50% unionized. The term pKb is used when referring to  
a base. pKa and pKb can be calculated from pH, if the  
5 concentrations of the charged and uncharged species are  
known, using the well-known Henderson-Hasselbach equation  
if concentrations of the charged and uncharged species are  
known. The Henderson-Hasselbach equation is as follows:

$$\begin{aligned} 10 \quad \text{pKb} &= \text{pH} + \log \left| \frac{\text{charged}}{\text{uncharged}} \right| && \text{for bases} \\ \text{pKa} &= \text{pH} + \log \left| \frac{\text{uncharged}}{\text{charged}} \right| && \text{for acids} \end{aligned}$$

From these equations, the unionized portion of the drug  
will be increased by lowering the pH for weak acid drugs  
15 and increasing the pH for weak base drugs.

The effect on the pKa of varying pH, and thus on the  
unionized drug available, is extremely dramatic. For  
example, sodium methohexital (the salt of a weak acid), a  
potent central nervous system-acting drug, has a pKa of  
20 7.9. If at the same time the general pH of the saliva is  
about 7.5, these values can then be placed in the  
Henderson-Hasselbach equation as follows:

$$25 \quad 7.9 = 7.5 + \log (X)$$

where X is the ratio of the unionized to the ionized drug  
form. Solving this calculation indicates that under  
typical conditions in the mouth, 72% of the methohexital  
available would exist in the unionized form. As was  
30 mentioned above, the unionized drug form is the primary  
form that is transported across the lipid cell membrane.

In the event that the salival pH is buffered down to  
approximately 6.7, the ratio of unionized to ionized drug  
changes dramatically. This results in a corresponding  
35

1 dramatic change in the amount of drug available. Under  
these conditions, 94% of the drug available exists in the  
unionized form.

5 Comparing the ratio of unionized to ionized drug  
produced under the two sets of pH conditions described  
above, it can be seen that dramatic changes occur.  
Changing the pH from 7.5 to 6.7 produces a substantial  
improvement in the concentration of unionized drug  
10 available for delivery across the lipid membrane. This  
results directly in a dramatic improvement in drug delivery  
across the cell membranes in the mouth and a corresponding  
increase in the effectiveness of the drug administered.

Changes in pH such as those discussed above can be  
accomplished by incorporating particular buffer systems  
15 within the confection composition. One presently preferred  
buffer system is a citric acid/sodium citrate system;  
however, other conventional buffers (such as phosphate) may  
also be used. By using such a buffer, dramatically better  
results may be achieved such that buccal drug absorption is  
20 a fully feasible and optimal delivery method.

It will be appreciated that an additional advantage  
of the change of the pH may be that the taste character-  
istics of the drug can be improved. Drugs which are very  
high in pH typically are very bitter in taste. As the pH  
25 drops, the taste becomes less bitter, then salty, and may  
eventually become sour. Flavorings can more adequately  
improve the taste characteristics of drugs in the lower pH  
ranges. As a result, in addition to improving the drug  
delivery, buffering pH may also improve the taste charact-  
30 eristics of the composition. Although the foregoing  
discussion has focused on the alteration of pH to enhance  
drug permeability by increasing the percentage of unionized  
drug forms, pH may enhance drug permeability by unknown  
mechanisms. For example, pH may affect drug molecular

1 configuration which enhances drug permeability. Nonetheless, drug pH is often an important consideration in drug  
administration.

5 4. Mucosal Membrane Permeation Enhancers

As discussed above, most drugs are present in solution in both the unionized and ionized forms. Generally only lipid soluble or lipophilic drugs readily  
10 diffuse across mucosal membranes. However, it has been found that nonlipophilic drugs may diffuse across mucosal membranes if the mucosal membrane is treated with a permeation enhancer. It has also been found that certain permeability enhancers can significantly enhance the permeability of lipophilic and nonlipophilic drugs.

15 Typical permeation enhancers may include bile salts such as sodium cholate, sodium glycocholate, sodium glycodeoxycholate, taurodeoxycholate, sodium deoxycholate, sodium lithocholate chenocholate, chenodeoxycholate, ursocholate, ursodeoxycholate, hydrodeoxycholate,  
20 dehydrocholate, glycochenocholate, taurochenocholate, and taurochenodeoxycholate. Other permeation enhancers such as sodium dodecyl sulfate ("SDS"), dimethyl sulfoxide ("DMSO"), sodium lauryl sulfate, salts and other derivatives of saturated and unsaturated fatty acids,  
25 surfactants, bile salt analogs, derivatives of bile salts, or such synthetic permeation enhancers may also be used.

It is almost impossible to predict which enhancer will work best for a given drug. For each individual drug, only experiments can tell which enhancer is the most  
30 suitable. However, it is generally believed that bile salts are good enhancers for hydrophilic drugs and long chain fatty acids, their salts, derivatives, and analogs are more suitable for lipophilic drugs. DMSO, SDS, and medium chain fatty acids (C-8 to about C-14) their salts,



1 derivatives, and analogs may work for both hydrophilic and  
lipophilic drugs.

2 The effectiveness of some enhancers may vary depend-  
3 ing on the chemical compound to be permeated. One partic-  
4 ular enhancer may work very well on one drug but may not  
5 have any effect on another drug. For example, oleic acid  
greatly improves the transdermal permeability of estradiol,  
a very lipophilic drug, but oleic acid does not have any  
effect on the transmucosal permeability of glucose, a very  
10 hydrophilic drug. Although it is possible to speculate  
whether a given enhancer may or may not enhance a given  
drug's permeability, the actual effectiveness of an  
enhancer should be verified experimentally.

15 The permeation enhancer concentration within the  
dissolvable matrix material may be varied depending on the  
potency of the enhancer and rate of dissolution of the dis-  
solvable matrix. Other criteria for determining the  
enhancer concentration include the potency of the drug and  
the desired lag time. The upper limit for enhancer concen-  
20 tration is set by toxic effect to or irritation limits of  
the mucosal membrane.

The following is a list of typical enhancers and an  
exemplary concentration range for each enhancer:

25

30

35

1	<u>Enhancer</u>	<u>Operational Concentration</u>	<u>Preferred Range</u>
	sodium cholate	0.02% - 50%	0.1% -16%
5	sodium dodecyl sulfate	0.02% - 50%	0.1% -2%
	sodium deoxycholate	0.02% - 50%	0.1% -16%
	taurodeoxycholate	0.02% - solubility	0.1% -16%
	sodium glycocholate	0.02% - solubility	0.1% -16%
10	sodium taurocholate	0.02% - solubility	0.1% -16%
	DMSO	0.02% - solubility	5% -50%

#### 5. Suitable Therapeutic Agents

15 In order for the present invention to operate effectively, it is necessary that the therapeutic agent incorporated within the dissolvable matrix be capable of permeating the mucosal membrane either alone or by suitable adjustments in the environmental pH, or other chemical modification or in combination with a suitable permeation enhancer. In some embodiments, the therapeutic agent may be microencapsulated or incorporated into microsponges.

20 The present invention has applicability to a variety of drugs affecting the central nervous system. For example, the present invention may easily be utilized in the administration of opioid agonists (such as fentanyl, alfentanil, sufentanil, lofentanil, and carfentanil), opioid antagonists (such as naloxone and nalbuphene), butyrophenones (such as droperidol and haloperidol); benzodiazepines (such as valium, midazolam, triazolam, oxazolam, and lorazepam); GABA stimulators (such as etomidate); barbiturates (such as Thiopental, methohexital, thiamazol, pentobarbital, and hexabarbital); di-isopropylphenols drugs (such as diprivan); and other

1 central nervous system-acting drugs such as levodopa. It  
 will be appreciated that other drugs may also be utilized  
 within the scope of the present invention either singly or  
 in combination.

5 Table 1 lists some of the CNS-acting drugs which are  
 suitable for incorporation into the dosage-form of the  
 present invention, as well as some of the characteristics  
 of those drugs.

<u>TABLE 1</u>			
	<u>GENERIC DRUG</u>	<u>DRUG CLASS</u>	<u>DOSE RANGE</u>
10	methohexital	barbiturate	10-500 mg
	pentobarbital	barbiturate	50-200 mg
	thiamylal	barbiturate	10-500 mg
	thiopental	barbiturate	50-500 mg
15	fentanyl	opioid agonist	0.05-5 mg
	alfentanil	opioid agonist	0.5-50 mg
	sufentanil	opioid agonist	5-500 $\mu$ g
	lofentanil	opioid agonist	0.1-100 $\mu$ g
	carfentanil	opioid agonist	0.2-100 $\mu$ g
20	naloxone	opioid antagonist	0.05-5 mg
	nalbuphene	opioid antagonist	1-50 mg
	diazepam	benzodiazepine	1-40 mg
	lorazepam	benzodiazepine	1-4 mg
	midazolam	benzodiazepine	0.5-25 mg
25	oxazepam	benzodiazepine	5-40 mg
	triazolam	benzodiazepine	250-1000 mg
	droperidol	buterophenone	1-20 mg
	haloperidol	buterophenone	0.5-10 mg
	propanidid	eugenol	1-10 mg
30	etomidate	GABA stimulator	5-60 mg
	propofol	substituted phenol	3-50 mg
	ketamine	phencyclidine	5-300 mg
	diprivan	substituted phenol	5-20 mg

1           Drugs having effects on the cardiovascular and renal  
 vascular systems may also be administered using a dosage-  
 form of the present invention. A few examples of such  
 drugs are identified in Table 2.

5

TABLE 2

	<u>GENERIC DRUG</u>	<u>DRUG CLASS</u>	<u>DOSE RANGE</u>
	Bretylium	antiarrhythmic	50-500 mg
	Captopril	ACE inhibitor	25-75 mg
10	Clonidine	antihypertensive	0.1-0.5 mg
	Dopamine	renal vascular	0.5-5 mg
	Enalapril	ACE inhibitor	5-15 mg
	Esmolol	antihypertensive/angina	100-250 mg
	Furosemide	diuretic	20-100 mg
15	Isosorbide	angina	2.5-40 mg
	Labetolol	antihypertensive	100-400 mg
	Lidocaine	antiarrhythmic	50-250 mg
	Metolazone	diuretic	5-50 mg
	Metoprolol	antihypertensive	25-100 mg
20	Nadolol	antihypertensive	40-160 mg
	Nifedipine	antihypertensive/ angina/vasodilator	10-40 mg
	Nitroglycerin	antihypertensive/angina	0.4-1.0 mg
	Nitroprusside	hypotensive	10-50 mg
25	Propranolol	antihypertensive/angina	0.1-50 mg

In addition to the foregoing, there are many other  
 drugs which can be administered using a dosage-form of the  
 present invention. Exemplary of such drugs are those  
 30 identified in Table 3.

1		<u>Table 3</u>	
	<u>GENERIC DRUG</u>	<u>DRUG CLASS</u>	<u>DOSE RANGE</u>
	Benzquinamide	antiemetic	25-100 mg
	Meclizine	antiemetic	25-100 mg
5	Metoclopramide	antiemetic	5-20 mg
	Prochlorperazine	antiemetic	5-25 mg
	Trimethobenzamide	antiemetic	100-2500 mg
	Clotrimazole	antifungal	10-20 mg
10	Nystatin	antifungal	100,000-500,000 units
	Carbidopa	antiparkinson with levodopa	10-50 mg
	Levodopa	antiparkinson	100-750 mg
	Sucralfate	antisecretory	1-2 grams
15	Albuterol	bronchodilator	0.8-1.6 mg
	Aminophylline	bronchodilator	100-500 mg
	Beclomethasone	bronchodilator	20-50 µg
	Dyphylline	bronchodilator	100-400 mg
	Epinephrine	bronchodilator	200-500 µg
20	Flunisolide	bronchodilator	25-50 µg
	Isoetharine	bronchodilator	170-680 µg
	Isoproterenol HCl	bronchodilator	60-260 µg
	Metaproterenol	bronchodilator	0.65-10 mg
	Oxtriphylline	bronchodilator	50-400 mg
25	Terbutaline	bronchodilator	2.5-10 mg
	Theophylline	bronchodilator	50-400 mg
	Ergotamine	antimigraine	2-4 mg
	Methysergide	antimigraine	2-4 mg
	Propranolol	antimigraine	80-160 mg
30	Suloctidil	antimigraine	200-300 mg
	Ergonovine	oxytocic	0.2-0.6 mg
	Oxytocin	oxytocic	5-20 units
	Desmopressinacetate	antidiuretic	10-50 µg
	Lypressin	antidiuretic	7-14 µg

1	Vasopressin	antidiuretic	2.5-60 units
	Insulin	antihyperglycemic	1-100 units

5 In addition to the foregoing drugs, certain  
macromolecular drugs (such as  $\beta$ -endorphin, enkephalins,  
bradykinin, aniotensin I, gonadotropic hormones, adreno-  
corticotropic hormone (ACTH), calcitonin, parathyroid  
hormone, and growth hormone), polysaccharides (such as  
10 heparin), antigens, antibodies, and enzymes may be adapted  
for transmucosal administration within the scope of the  
present invention.

When incorporating a drug into a dissolvable matrix  
within the scope of the present invention, the amount of  
drug used will generally differ from the amount used in  
15 more traditional injection and oral administration  
techniques. Depending upon the lipophilic nature of the  
drug, its potency, the use of permeation enhancers, and the  
drug's end use, the total concentration of the drug in the  
typical dosage-form may contain up to 50 times more than  
20 the amount of drug which would typically be used in an  
injection, but it may also contain significantly less than  
the amount used orally, and it may also contain less than  
the amount used in some intramuscular injections. For  
purposes of example, Tables 1, 2, and 3 set forth presently  
25 contemplated ranges of the dosages of certain drugs which  
could be typically used.

A wide variety of drugs may be used within the scope  
of the present invention. The present invention allows  
drugs to be incorporated within the dissolvable matrix  
30 which would otherwise be insoluble, unpleasant tasting, or  
have other undesirable characteristics. This capability is  
provided by the various formation techniques of the dosage-  
form. The present invention also allows both lipophilic as

1 well as nonlipophilic drugs to be utilized depending on the  
use of permeation enhancers.

As was mentioned above, methohexital is one presently  
preferred drug for use in the dissolvable dosage-form of  
5 the present invention. Tests were run in which  
methohexital dosage-forms were given to six volunteers.  
The dosage-forms each contained 500 milligrams of  
methohexital. Each patient experienced the sedative  
effects of the drug in a matter of minutes after beginning  
10 to suck on the dosage-form. These tests indicated that the  
dosage-form of the present invention is effective in  
administering methohexital in a dose-to-effect manner.

Using the methohexital dosage-form described above,  
it was possible to produce either mild or heavy sedation or  
15 induce anesthesia. By removing the dosage-form when the  
ideal degree of sedation was achieved, it was possible to  
gradually increase sedation to the desired level.

In addition, the results show that the use of oral  
transmucosal methohexital significantly decreases the drug  
20 dosage required to produce optimal sedation when compared  
to rectal administration. The dosage was reduced from  
between 25 and 30 mg/kg when methohexital is administered  
rectally to between 6 and 8 mg/kg methohexital is given by  
way of the oral transmucosal dosage-form. The use of an  
25 enhancer may reduce this dosage even more.

In summary, it will be appreciated that a wide  
variety of drugs can be used within the scope of the  
present invention. At the same time, several benefits are  
provided. Efficient delivery of the drug is facilitated  
30 while at the same time drug degradation is avoided. The  
drug can also be administered in a dose-to-effect manner so  
that the drug effect produced is precisely controlled.

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5. Examples of the Present Invention

The following examples are given to illustrate various embodiments which have been made or may be made in accordance with the present invention. These examples are given by way of example only, and it is to be understood that the following examples are not comprehensive or exhaustive of the many types of embodiments of the present invention which can be prepared in accordance with the present invention.

Example 1

In this example, methohexital was incorporated into a dissolvable matrix form. Methohexital is a known potent lipophilic drug useful as an anxiolytic, sedative and for anesthetizing a patient. Its high potency and lipophilicity makes it an excellent drug for transmucosal administration in accordance with the present invention.

A suitable mixture was prepared by combining the following ingredients as follows:

	<u>Ingredient</u>	<u>%</u>	<u>grams</u>
	citric acid	1%	0.2
	ribotide	2%	0.4
	compritol 888	2%	0.4
25	aspartame	2%	0.4
	vanilla microcaps	5%	1.0
	vanilla cream microcaps	5%	1.0
	wild cherry microcaps	3%	0.6
	peppermint microcaps	3%	0.6
30	compressible sugar	20%	4.0
	methohexital sodium	25%	5.0
	maltodextrin	<u>32%</u>	<u>6.4</u>
		100%	20

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1       The ingredients were combined in a mixer in such a  
fashion as to ensure a uniform distribution of all  
ingredients within the mixture. Aliquots of 2 grams each  
were then hydraulically compressed around a commercially  
5 available wax-coated compressed paper holder, using a force  
sufficient to provide a final volume of 2 cubic centi-  
meters. The procedure resulted in the preparation of 10  
oral transmucosal dosage-forms, each containing 0.5 grams  
of methohexital.

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#### Example 2

In this example, methohexital was incorporated into  
a dissolvable matrix form. Gelatin was selected as the  
dissolvable matrix material. Methohexital is a known  
15 potent lipophilic drug useful as an anxiolytic, sedative  
and for anesthetizing a patient. Its high potency and  
lipophilicity makes it an excellent drug for transmucosal  
administration in accordance with the present invention.

A suitable mixture was prepared by combining the  
20 following ingredients as follows:

	<u>Ingredient</u>	<u>%</u>	<u>grams</u>
	citric acid	1%	0.2
	ribotide	2%	0.4
25	compritol 888	2%	0.4
	aspartame	2%	0.4
	vanilla microcaps	5%	1.0
	vanilla cream microcaps	5%	1.0
	wild cherry microcaps	3%	0.6
30	peppermint microcaps	3%	0.6
	methohexital sodium	25%	5.0
	gelatin	<u>52%</u>	<u>10.4</u>
		100%	20

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1           The ingredients were combined in a mixer in such a  
fashion as to ensure a uniform distribution of all  
ingredients within the mixture. Aliquots of 2 grams each  
were then formed by dehydration. The procedure resulted in  
5           the preparation of 10 oral transmucosal dosage-forms, each  
containing 0.5 grams of methohexital.

          It will be appreciated that similar dosage-forms may  
be produced using other dissolvable matrix materials such  
as fats, waxes (natural or synthetic), proteins, hydrogels,  
10          dissolvable resins, or other suitable dissolvable matrix  
materials.

#### 6.     Summary

          In summary, the present invention provides  
15          compositions and methods of manufacture for administering  
a drug in a precise dose in order to obtain a rapid effect.  
In addition, the present invention provides methods for  
forming a drug containing dissolvable matrix having the  
following attributes:

- 20           (1) drugs having relatively low melting points  
can be used without degrading the drug;  
          (2) drugs that are volatile can be incorporated  
into the matrix;  
          (3) disagreeable flavor characteristics can be  
25          masked;  
          (4) insoluble ingredients can be used;  
          (5) chemically incompatible ingredients can be  
used;  
          (6) buffer forming reagents can be added to  
30          optimize the ratio of ionized and nonionized drug  
form;  
          (7) chemical agents can be added to modify the  
dissolution characteristics of the drug;

1           (8) permeation enhancers can be added to  
increase the drug absorption;

          (9) lipid soluble mixtures can be added to  
increase drug absorption;

5           (10) dissolution characteristics can be modified  
mechanically by changing the compressive forces used  
to form the dissolvable matrix;

          (11) stratification of active ingredients can be  
accomplished;

10          (12) the dosage can be modified by utilizing an  
assembly of dosage units onto a holder; and

          (13) both lipophilic and nonlipophilic drugs can  
be suitably used.

          The present invention, therefore, provides the  
15 ability to provide precise control over the dosage and  
effect of the drug. This is obtained by transmucosal  
administration of the drug by sucking a drug-containing  
dissolvable dosage-form having a handle. As a result, the  
precise dosage and effect can be obtained.

20          The present invention may be embodied in other  
specific forms without departing from its spirit or  
essential characteristics. The described embodiments are  
to be considered in all respects only as illustrative and  
not restrictive. The scope of the invention is, therefore,  
25 indicated by the appended claims rather than by the  
foregoing description. All changes which come within the  
meaning and range of equivalency of the claims are to be  
embraced within their scope.

          What is claimed is:

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1           1. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient, said  
composition comprising:

a soluble matrix material;

5           a pharmacologically effective dose of a potent  
drug being capable of absorption through mucosal  
tissues of the mouth, pharynx, and esophagus and  
being dispersed throughout the matrix material and  
formed into a substantially solid integral mass which  
10          is capable of dissolving in the mouth of the patient  
so that the drug is released for absorption through  
mucosal tissues of the mouth, pharynx, and esophagus  
upon dissolution of the integral mass in the mouth of  
the patient;

15          buffer forming reagents dispersed throughout the  
integral mass, the buffer forming reagents being  
capable of modifying the salival pH when dissolved in  
saliva such that a majority of the drug remains  
unionized in order to facilitate transmucosal  
20          absorption of the drug; and

holder means secured to the integral mass so as  
to form a drug-containing dosage-form, the holder  
means being configured so as to permit convenient  
insertion and removal of the drug-containing integral  
25          mass into and out of the mouth of the patient.

2. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 1, wherein the soluble matrix material comprises  
30          a soluble carbohydrate material.

1           3. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 1, wherein the soluble matrix material comprises  
a soluble fat material.

5           4. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 1, wherein the soluble matrix material comprises  
a soluble protein material.

10          5. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 1, wherein the soluble matrix material comprises  
a soluble wax material.

15          6. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 1, wherein the soluble matrix material comprises  
a soluble hydrocarbon material.

20          7. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 2, wherein the soluble carbohydrate material  
comprises a solidified molten matrix.

25          8. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 2, wherein the soluble carbohydrate material  
comprises a compressed powder.

30          9. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 8, wherein the drug incorporated into the  
compressed powder matrix is microencapsulated.

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10. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 8, wherein the drug incorporated into the compressed powder matrix is included within a microsphere.

11. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 2, wherein the soluble carbohydrate material comprises a hydrogel.

12. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 2, wherein the soluble carbohydrate material comprises a gelatin.

13. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 1, wherein the drug is dispersed substantially uniformly throughout the matrix material.

14. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 1, wherein the drug is dispersed in circular layers throughout the matrix material.

15. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 1, wherein the buffer forming reagents comprise a citrate buffer system.

1           16. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 1, wherein the buffer forming reagents comprise a  
phosphate buffer system.

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          17. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 1, wherein the drug-containing integral mass  
further includes a lubricating agent dispersed  
10 substantially uniformly throughout the integral mass in  
order to aid in the manufacture of the drug-containing  
dosage-form.

          18. A drug-containing dosage-form for use in  
15 transmucosal delivery of the drug to a patient as defined  
in claim 1, wherein the drug-containing integral mass  
further includes a surfactant dispersed substantially  
uniformly throughout the integral mass in order to aid in  
the manufacture of the drug-containing dosage-form.

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          19. A drug-containing composition for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 1, wherein the drug-containing integral mass  
further includes maltodextrin dispersed substantially  
25 uniformly throughout the integral mass in order to aid in  
dissipating any unpleasant flavors of the drug in the  
integral mass.

          20. A drug-containing dosage-form for use in  
30 transmucosal delivery of the drug to a patient as defined  
in claim 1, wherein the drug-containing dosage-form  
integral mass further includes at least one flavor enhancer  
dispersed substantially uniformly throughout the integral  
mass.

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21. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 1, wherein the drug-containing integral mass  
5 further includes a substantially water-insoluble component dispersed substantially uniformly throughout the integral mass in order to make slower the dissolution of the integral mass in the mouth of the patient.

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22. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 1, wherein the potent drug is substantially lipophilic.

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23. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 1, wherein the potent drug is substantially nonlipophilic.

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1           24. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient, said  
dosage-form comprising:

a soluble matrix material;

5           a pharmacologically effective dose of a potent  
drug being capable of absorption through mucosal  
tissues of the mouth, pharynx, and esophagus and  
being dispersed throughout the matrix material and  
formed into a substantially solid integral mass which  
10          is capable of dissolving in the mouth of the patient  
so that the drug is released for absorption through  
mucosal tissues of the mouth, pharynx, and esophagus  
upon dissolution of the integral mass in the mouth of  
the patient;

15          a permeation enhancer which is also dispersed  
throughout the integral mass, the permeation enhancer  
being capable of modifying the permeability of the  
mucosal tissues of the mouth, pharynx, and esophagus  
towards the drug in order to facilitate transmucosal  
20          absorption of the drug; and

holder means secured to the integral mass so as  
to form a drug-containing dosage-form, the holder  
means being configured so as to permit convenient  
insertion and removal of the drug-containing integral  
25          mass into and out of the mouth of the patient.

25          25. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the permeation enhancer is not  
30          dispersed uniformly throughout the integral mass.

1           26. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 25, wherein a more of the permeation enhancer is  
5           dispersed about the outer periphery of the dosage-form than  
in the center portion of the dosage-form.

          27. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the drug is dispersed substantially  
10          uniformly throughout the matrix material.

          28. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the soluble matrix material comprises  
15          a soluble carbohydrate material.

          29. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the soluble matrix material comprises  
20          a soluble fat material.

          30. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the soluble matrix material comprises  
25          a soluble protein material.

          31. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the soluble matrix material comprises  
30          a soluble wax material.

1           32. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the soluble matrix material comprises  
a soluble hydrocarbon material.

5           33. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the soluble carbohydrate material  
comprises a solidified molten matrix.

10           34. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the soluble carbohydrate material  
comprises a compressed powder.

15           35. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 34, wherein the drug incorporated into the  
compressed powder matrix is microencapsulated.

20           36. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 34, wherein the drug incorporated into the  
compressed powder matrix is included within a micro sponge.

25           37. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 28, wherein the soluble carbohydrate material  
comprises a hydrogel.

30           38. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 28, wherein the soluble carbohydrate material  
comprises a gelatin.

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39. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 24, wherein the permeation enhancer comprises a bile salt.

40. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 24, wherein the permeation enhancer comprises a synthetic permeation enhancer.

41. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 24, wherein the drug-containing integral mass further includes a lubricating agent dispersed substantially uniformly throughout the integral mass in order to aid in the manufacture of the drug-containing dosage-form.

42. A drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 24, wherein the drug-containing integral mass further includes a surfactant dispersed substantially uniformly throughout the integral mass in order to aid in the manufacture of the drug-containing dosage-form.

43. A drug-containing composition for use in transmucosal delivery of the drug to a patient as defined in claim 24, wherein the drug-containing integral mass further includes maltodextrin dispersed substantially uniformly throughout the integral mass in order to aid in dissipating any unpleasant flavors of the drug in the integral mass.

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1           44. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the drug-containing integral mass  
further includes at least one flavor enhancer dispersed  
5 substantially uniformly throughout the integral mass.

          45. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the drug-containing integral mass  
10 further includes a substantially water-insoluble component  
dispersed substantially uniformly throughout the integral  
mass in order to make slower the dissolution of the  
integral mass in the mouth of the patient.

15           46. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the potent drug is substantially  
lipophilic.

20           47. A drug-containing dosage-form for use in  
transmucosal delivery of the drug to a patient as defined  
in claim 24, wherein the potent drug is substantially  
nonlipophilic.

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1           48. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient, the method comprising the steps of:

5           (a) obtaining a pharmacologically effective  
dose of a potent drug capable of absorption through  
mucosal tissues of the mouth, pharynx, and esophagus;

          (b) obtaining a soluble matrix material capable  
of dissolving within the mouth of the patient;

10          (c) mixing the drug and the matrix material to  
form a drug-containing matrix such that the drug is  
dispersed throughout the drug-containing matrix;

          (d) dispersing a buffer forming reagent  
throughout the integral mass, the buffer forming  
reagent being capable of modifying the salival pH  
15 when dissolved in saliva such that a majority of the  
drug remains unionized in order to facilitate  
transmucosal absorption of the drug;

          (e) forming a substantially solid integral mass  
from the drug-containing matrix which is capable of  
20 dissolving in the mouth of the patient so that the  
drug is released for absorption through mucosal  
tissues of the mouth, pharynx, and esophagus upon  
dissolution of the integral mass in the mouth of the  
patient; and

25          (f) incorporating a holder as part of the  
integral mass in order to form the drug-containing  
dosage-form.

          49. A method for producing a drug-containing dosage-  
30 form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the buffer forming  
reagent comprises a citrate buffer system.

1           50. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the buffer forming  
reagent comprises a phosphate buffer system.

5

          51. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the holder is  
incorporated into the integral mass by compression of the  
10 drug-containing matrix around the holder during forming  
step (e).

          52. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
15 patient as defined in claim 48 wherein the holder is  
incorporated as part of the integral mass by affixing the  
holder to the integral mass after forming step (e).

          53. A method for producing a drug-containing dosage-  
20 form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug-containing  
matrix includes at least one flavor enhancer.

          54. A method for producing a drug-containing dosage-  
25 form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug-containing  
matrix includes maltodextrin in order to aid in dissipating  
any unpleasant flavors of the drug.

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1           55. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein a substantially  
water-insoluble component is added to the drug-containing  
5 matrix such that the dissolution of the integral mass in  
the mouth of the patient is made slower by the  
substantially water-insoluble component in the drug-  
containing matrix.

10           56. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug is  
substantially lipophilic.

15           57. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug is  
substantially nonlipophilic.

20           58. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug is  
methohexital.

25           59. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug is  
fentanyl.

30           60. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug is selected  
from the group consisting of triazolan, oxazepam,  
lorazepam, etomidate, and thiamylal.



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61. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 48 wherein the drug is  
5 nitroglycerin.

62. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 48 wherein the drug is selected  
10 from the group consisting of isosorbide dinitrate, captopril, nifedipine, clonidine, and esimolol.

63. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a  
15 patient as defined in claim 48 wherein the drug is a potent, fast-acting drug.

64. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a  
20 patient as defined in claim 48 wherein the drug has effects on the central nervous system of the patient.

65. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a  
25 patient as defined in claim 48 wherein the drug has effects on the cardiovascular system of the patient.

66. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a  
30 patient as defined in claim 48 wherein the drug has effects in the renal vascular system of the patient.

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1           67. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug has effects  
in the respiratory system of the patient.

5           68. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug has  
antiemetic effects on the patient.

10          69. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug has  
antifungal effects on the patient.

15          70. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug has  
antiparkinson effects on the patient.

20          71. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug has  
antisecretory effects on the patient.

25          72. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug has  
antimigraine effects on the patient.

30          73. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 48 wherein the drug has  
oxytotic effects on the patient.

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74. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 48 wherein the drug has  
5 antidiuretic effects on the patient.

75. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 48 wherein the drug has anti-  
10 hyperglycemic effects on the patient.

76. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 48 wherein the drug has opioid  
15 agonist effects on the patient.

77. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 48 wherein the drug has opioid  
20 antagonist effects on the patient.

78. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 48 wherein the drug has  
25 diuretic effects on the patient.

79. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 48 wherein drug is dispersed  
30 substantially uniformly throughout the matrix material.

1           80. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient, the method comprising the steps of:

5           (a) obtaining a pharmacologically effective  
dose of a potent drug capable of absorption through  
mucosal tissues of the mouth, pharynx, and esophagus;

          (b) obtaining a soluble matrix material capable  
of dissolving within the mouth of the patient;

10          (c) mixing the drug and the matrix material to  
form a drug-containing matrix such that the drug is  
dispersed throughout the drug-containing matrix;

          (d) dispersing a permeation enhancer throughout  
the integral mass, the permeation enhancer being  
capable of modifying the permeability of the mucosal  
15          tissues of the mouth, pharynx, and esophagus towards  
the drug in order to facilitate transmucosal  
absorption of the drug;

          (e) forming a substantially solid integral mass  
from the drug-containing matrix which is capable of  
20          dissolving in the mouth of the patient so that the  
drug is released for absorption through mucosal  
tissues of the mouth, pharynx, and esophagus upon  
dissolution of the integral mass in the mouth of the  
patient; and

25          (f) incorporating a holder as part of the  
integral mass in order to form the drug-containing  
dosage-form.

          81. A method for producing a drug-containing dosage-  
30          form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein the permeation  
enhancer is not dispersed uniformly throughout the integral  
mass.

1           82. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein a higher  
concentration of the permeation enhancer is dispersed about  
5 the outer periphery of the dosage-form than in the center  
portion of the dosage-form.

          83. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
10 patient as defined in claim 80, wherein the potent drug is  
dispersed substantially uniformly throughout the matrix  
material.

          84. A method for producing a drug-containing dosage-  
15 form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein the permeation  
enhancer comprises a bile salt.

          85. A method for producing a drug-containing dosage-  
20 form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein the permeation  
enhancer comprises a synthetic permeation enhancer.

          86. A method for producing a drug-containing dosage-  
25 form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein the drug possesses  
sufficient nonlipophilic properties such that a permeation  
enhancer is needed to enable the drug to be absorbed  
through the mucosal tissue.

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1           87. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein the holder is  
5           incorporated into the integral mass by compression of the  
drug-containing matrix around the holder during forming  
step (e).

          88. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
10          patient as defined in claim 80, wherein the holder is  
incorporated as part of the integral mass by affixing the  
holder to the integral mass after forming step (e).

          89. A method for producing a drug-containing dosage-  
15          form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein the drug-containing  
matrix includes at least one flavor enhancer.

          90. A method for producing a drug-containing dosage-  
20          form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein the drug-containing  
matrix includes maltodextrin in order to aid in dissipating  
any unpleasant flavors of the drug.

25          91. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein a substantially  
water-insoluble component is added to the drug-containing  
matrix such that the dissolution of the integral mass in  
30          the mouth of the patient is made slower by the  
substantially water-insoluble component in the drug-  
containing matrix.

1           92. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80 wherein the drug is  
substantially lipophilic.

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          93. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80 wherein the drug is  
substantially nonlipophilic.

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          94. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80 wherein the drug has opioid  
agonist effects on the patient.

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          95. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80 wherein the drug has opioid  
antagonist effects on the patient.

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          96. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein the drug is a  
potent, fast-acting drug.

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          97. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein the drug has  
effects on the central nervous system of the patient.

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          98. A method for producing a drug-containing dosage-  
form for use in transmucosal delivery of the drug to a  
patient as defined in claim 80, wherein the drug has  
effects on the cardiovascular system of the patient.

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99. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 80, wherein the drug has  
5 effects in the renal vascular system of the patient.

100. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 80, wherein the drug has  
10 effects respiratory system of the patient.

101. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a patient as defined in claim 80, wherein the permeation  
15 enhancer comprises a lipid soluble supplement which acts as a permeation enhancer.

102. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a  
20 patient as defined in claim 80, wherein the drug-containing matrix comprises a sweetener.

103. A method for producing a drug-containing dosage-form for use in transmucosal delivery of the drug to a  
25 patient as defined in claim 102, wherein the sweetner is an artificial sweetner.

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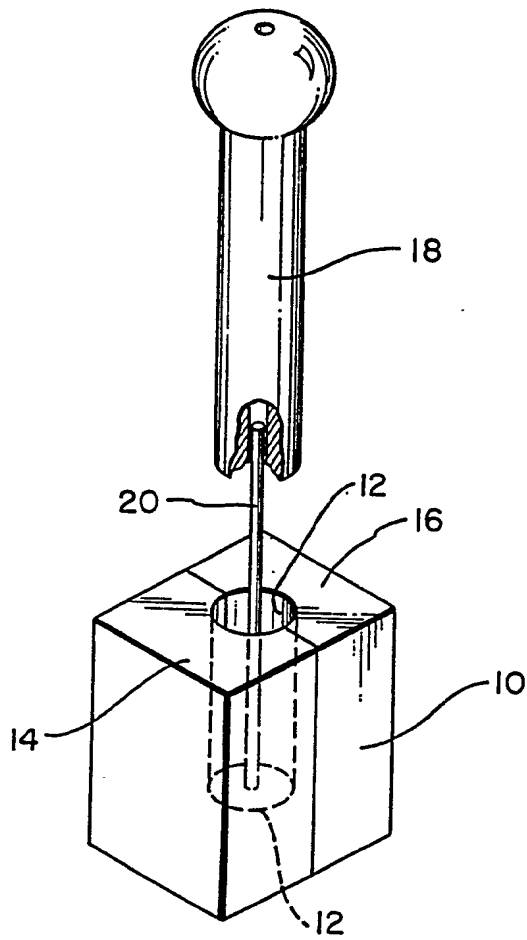


FIG. 1

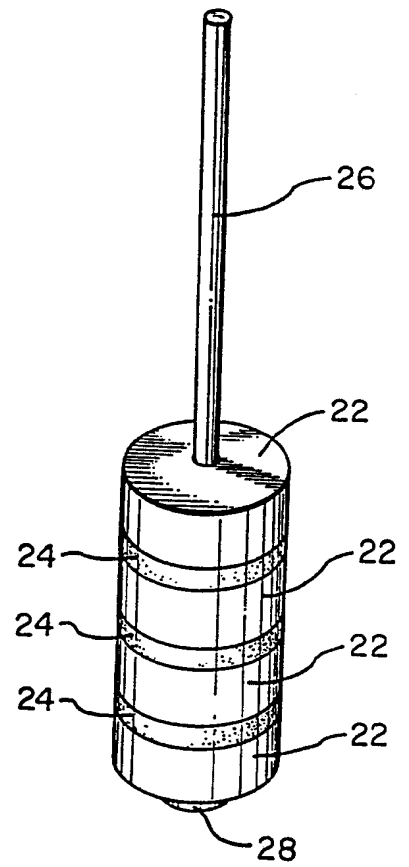


FIG. 2

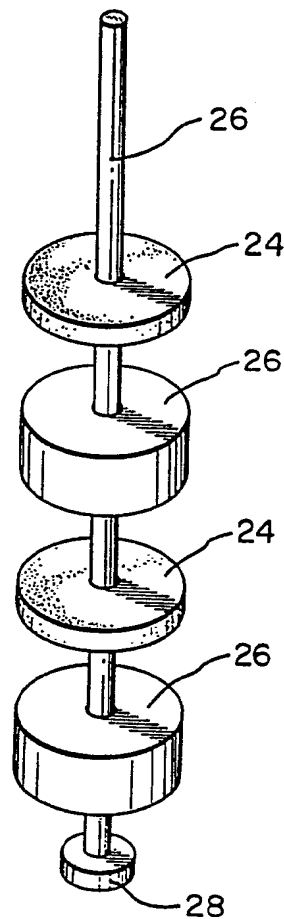


FIG. 3

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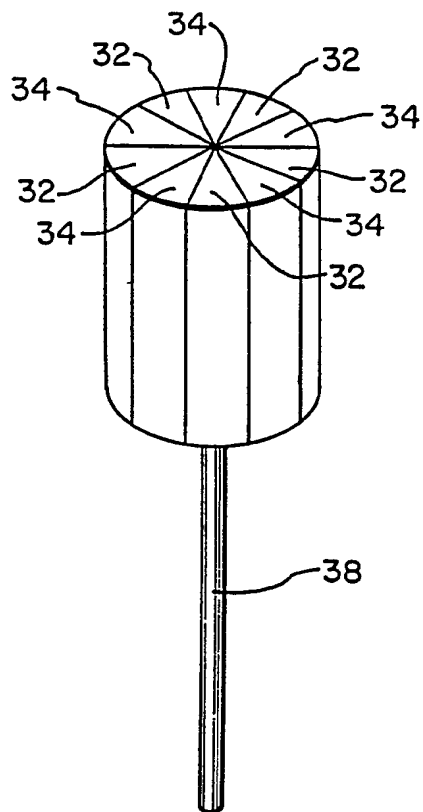


FIG. 4

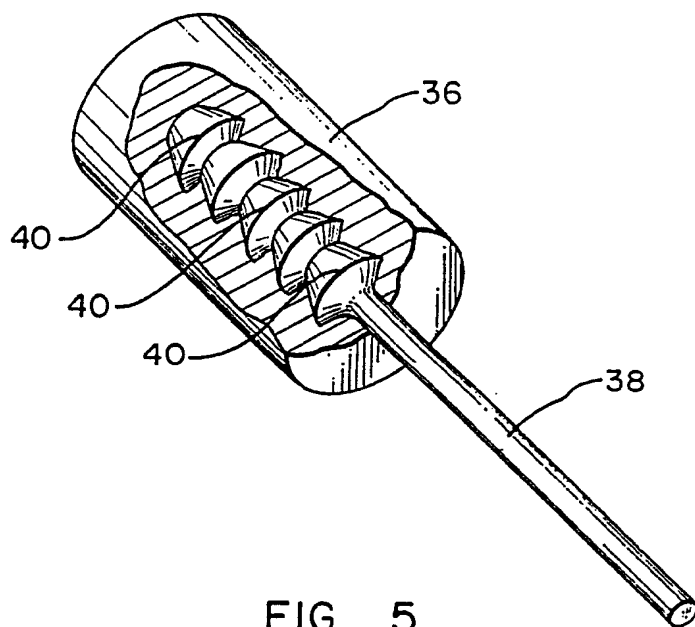


FIG. 5

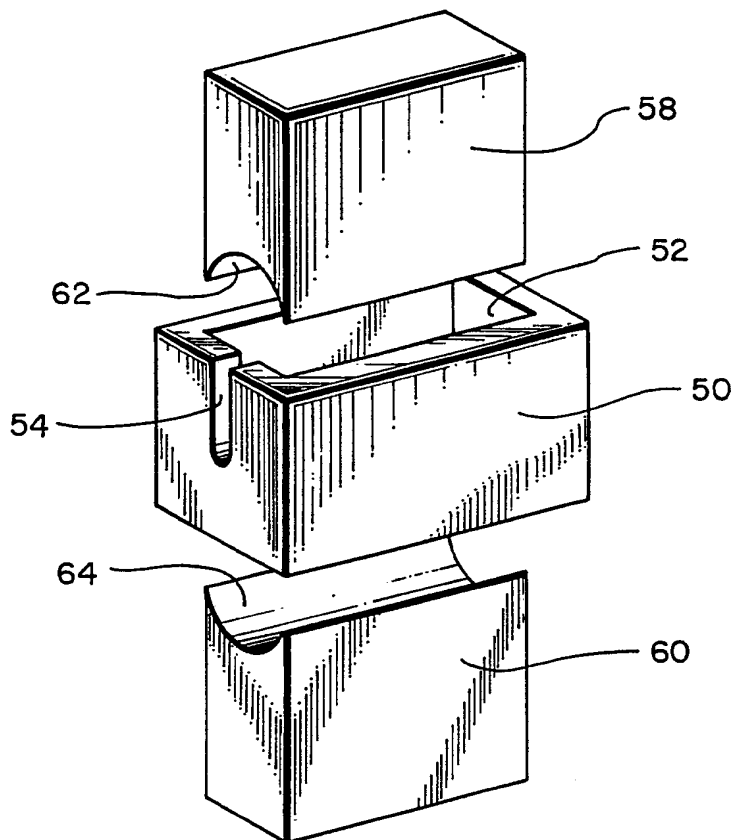


FIG. 6

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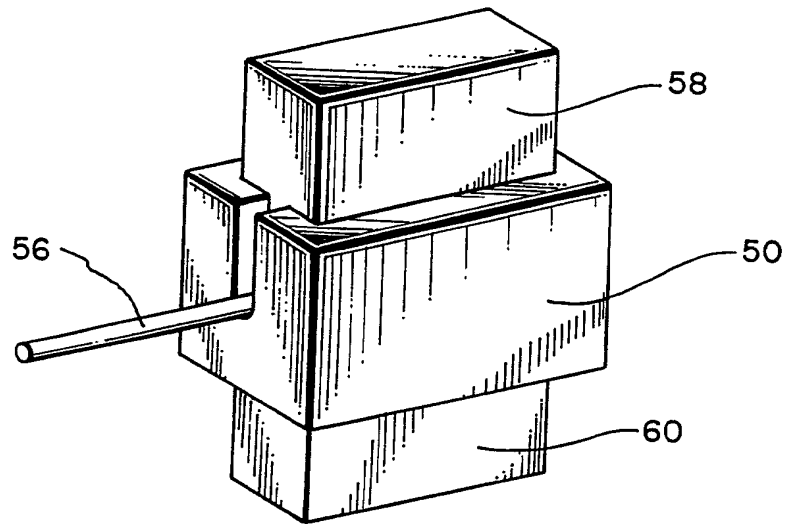
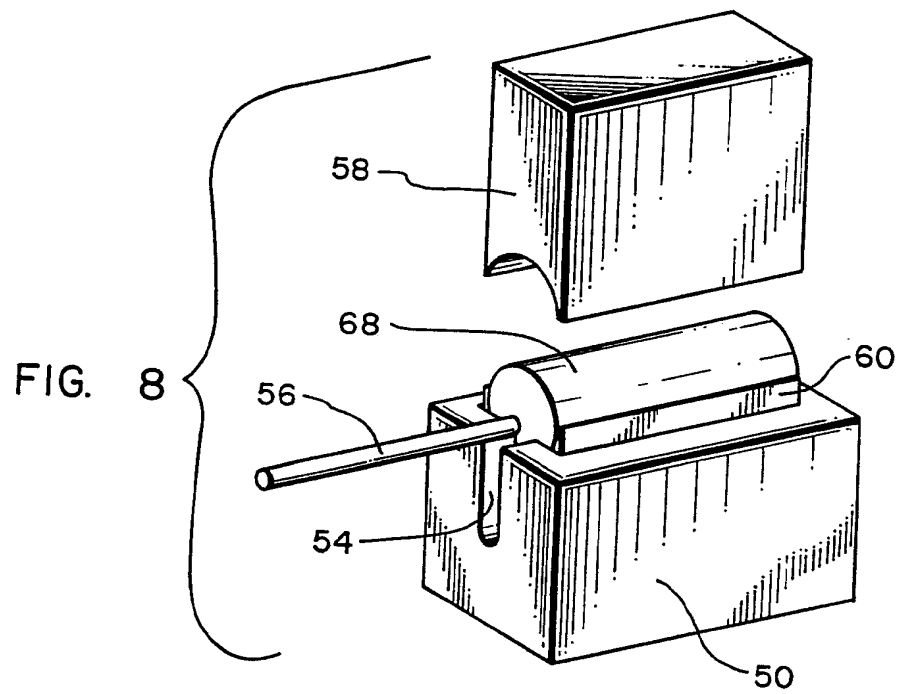


FIG. 7



# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US90/04384

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC IPC (5): A61K 9/68 U.S. CL. 424/440		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
U.S.	424/439, 440, 441	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>9</sup>		
Category <sup>*</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
A	US, A, 122,507 (WILLS) 02 JANUARY 1872 See entire document.	1-103
A	US, A, 2,963,404 (HAMMER) 06 DECEMBER 1960 See entire document.	1-103
A	US, A, 3,556,811 (SMITH) 19 JANUARY 1971 See entire document.	1-103
A	US, A, 3,622,352 (DAYLOR, JR.) 23 NOVEMBER 1971; See entire document.	1-103
A	US, A, 3,697,641 (AHRENS) 10 OCTOBER 1972 See entire document.	1-103
A	US, A, 4,551,329 (HARRIS) 05 NOVEMBER 1985 See entire document.	1-103
A	US, A, 4,642,231 (PETERS) 10 FEBRUARY 1987 See entire document.	1-103
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>*</sup> Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search  <div style="text-align: center; font-weight: bold;">26 SEPTEMBER 1990</div>	Date of Mailing of this International Search Report  <div style="text-align: center; font-weight: bold; font-size: 1.2em;">18 JAN 1991</div>	
International Searching Authority  <div style="text-align: center;">ISA/US</div>	Signature of Authorized Officer  <div style="text-align: center;">T.K. Page <i>TK Page</i></div>	